

**TROPICAL HEALTH AND EDUCATION TRUST**

**REPORT AND FINANCIAL STATEMENTS**

**FOR THE YEAR ENDED 31 DECEMBER 2011**

**CHARITY No. 1113101**

**COMPANY No. 5708871**

# TROPICAL HEALTH AND EDUCATION TRUST

## TRUSTEES' REPORT YEAR ENDED 31 DECEMBER 2011

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# TROPICAL HEALTH AND EDUCATION TRUST

## TRUSTEES' REPORT YEAR ENDED 31 DECEMBER 2011

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### Reference and administrative details of the charity, its Trustees and advisors

The name of the charity is Tropical Health and Education Trust; it is also known as THET.

The following Trustees have acted during the year:

Mr Andy Bacon	(from 1 December 2011)
Dr Colin Brown	
Ms Maura Buchanan	
Mr Jim Conybeare-Cross	
Mr David Cutler	
Professor Sir Andy Haines	(Chairman)
Ms Helen Holmes	(from 1 December 2011)
Dr Peter Homa	(to 31 March 2011)
Professor Parveen Kumar	
Mr Andrew Leather	
Mr Julian Lob-Levyt	
Mr James Nwabineli	(from 1 December 2011)
Professor Sir Eldryd Parry	(to 21 July 2011)
Dr Michael Pelly	

Pia MacRae	Chief Executive (to 11 November 2011)
Jane Cockerell	Chief Executive (from 1 January 2012)

**Registered Office:** 1 Wimpole Street, London, W1G 0AE

**Bankers:** Charities Aid Foundation, Kings Hill, West Malling, Kent, ME19 4TA

**Auditors:** TAL-London, 76 Shoe Lane, London, EC4A 3JB

The Trustees, who are also the Directors of the company for the purposes of the Companies Act, present their Annual Report, which is also the Directors' report for purposes of the Companies Act, together with the audited Financial Statements of the company for the year ended 31 December 2011.

The financial statements comply with current statutory requirements, the requirements of the charity's governing document and the Statement of Recommended Practice – Accounting and Reporting by Charities (SORP 2005).

# TROPICAL HEALTH AND EDUCATION TRUST

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### Structure, Governance and Management

THET is a company limited by guarantee. Its governing document is the memorandum and articles of association dated 14 February 2006. The charity is constituted as a charitable trust for charitable objects and is governed by a Board of Trustees.

Trustees are elected by the current Trustees, who are responsible for deciding who should be appointed to become a new Trustee. No person other than a Trustee can elect a new Trustee. The general rule is that Trustees must be subject to re-election at least every three years. All Trustees undergo a formal induction to the charity upon election, the content of which is reviewed annually.

Trustees meet five times a year as a board and also attend an Awayday.

By the date of this report, the charity's auditors, formerly known as Target Accountants Limited, had changed to become Target Accountants (London) Limited trading as TAL-London. The Trustees have consented to the appointment of TAL-London. A resolution to re-appoint TAL-London as the company's auditors will be proposed at the Annual General Meeting.

There has been no specific restriction imposed by the charity's governing instrument on the operation of the Trust.

There has been no specific investment power except that vested in the Trustees according to the Trust Deed, thus "Trustees shall have the same full and unrestricted powers of investment in all respects as if they were absolutely entitled to the Trust Fund beneficially".

### Chief Executive Appointment

Pia MacRae left THET in November 2011. Following an external recruitment process, the Board of Trustees unanimously decided to appoint Jane Cockerell as her replacement as Chief Executive. Jane was Director of Programmes at THET leading up to this appointment. She joined the organisation in January 2010, bringing with her almost a decade of experience in the field of international aid and development. Jane formally took up her new appointment on 1 January 2012.

### Risk Assessment

The Trustees keep risks under regular review with an assessment of probability and impact. A handling strategy for both strategic and operational risks has been prepared. In terms of its general affairs THET operates prudent policies in all its financial operations, with any significant expenditure requiring approval by Trustees. THET also makes arrangements for appropriate insurance cover and other protection where this is prudent in its activities at home and overseas.

### Objectives and activities

THET is a specialist global health partnerships organisation dedicated to ensuring that poor people in low and middle income countries have greater opportunity to access healthcare from an appropriately skilled and supported health worker.

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We work through global health partnerships to train and support health workers. We leverage our unique connections within the UK health sector and beyond, to engage skilled health professionals in sharing their expertise with counterparts in countries where essential health needs are most critical.

Specific activities that support the global health workforce across our programmes may include formal health professional education, continuing professional development courses, on the job training, leadership and management development, governance and policy development, curriculum delivery and development, distance and e-learning projects. These may be co-ordinated centrally, or implemented at the local institutional level. More detail is given in the body of this report.

The objectives of the Trust have not changed during 2011.

### **Achievements and performance**

The following sections summarise the year's achievements and performance, measured against our four overarching objectives outlined in the Strategic Plan 2010-2013:

- **Goal 1:** Promoting the engagement of UK health professionals in global health
- **Goal 2:** Improving global health services through delivery of strategic tailored programmes
- **Goal 3:** Assessing the value of our current portfolio through proactive evaluation and dialogue, and developing new areas of work
- **Goal 4:** Building THET as a professional and respected organisation

<b>Promoting the engagement of UK health professionals in global health</b>
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**Aim:** Increasing participation in events which promote the engagement of UK health professionals in global health

**Achievement:** During 2011, THET staff increasingly engaged with organisations in order to advocate for the development of the health partnerships model and to promote the engagement of UK health professionals in global health. Participation at key stakeholder events included; the Wales for Africa Annual Conference and Board Meetings; International Committee of the Academy of Medical Royal Colleges; Strategic Health Authority International Health Group; BMJ Nice Conference; Association of Anaesthetists; Royal College of Nursing; Royal Africa Society Conference; International Centre for Parliamentary Studies; The International Confederation of Midwives - Stakeholder Midwife Education Providers Meeting.

THET also ran events which provided support and expertise and an opportunity to network with others engaged in international health partnerships including; The THET Annual Health Links conference; Round Table discussions for Partnerships working in specific regions; Application &

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Project Planning Workshops around the UK; Medical Equipment Workshop.

**Aim:** Advocating for an enabling environment for UK health professionals to engage in Global Health

**Achievement:** In 2011, THET began development of a volunteering strategy with a broad range of influential stakeholders, advocating a vision that international volunteering should be the norm not the exception for all UK health professionals. Engagement on policy issues relating to volunteering included an International Forum of the Academy of Medical Royal Colleges meeting attended by the Secretary of State, Andrew Mitchell, with a session dedicated to volunteering and a meeting on UK international health volunteering chaired by Parliamentary Under-Secretary of State for International Development, Stephen O'Brien.

**Aim:** Raising awareness of the global human resource for health crisis, and ways in which the UK can constructively respond

**Achievement:** In 2011, development began on a new, dedicated website with access to latest news and resources. This provides advice and guidance to partners on the technical and administrative aspects of establishing and maintaining links. In addition, THET will signpost UK partners to developing country health plans; to tools that can help them evaluate the impact of their work, and indicate appropriate agencies for health professionals interested in international health partnerships. An online 'Community of Practice' was also created to encourage the sharing of experience and articles were published in press and 'trade' publications

### Improving global health services through delivery of strategic tailored programmes

In 2011, the following programmes have delivered THET's Mission of ensuring that poor people in low and middle income countries have greater opportunity to access healthcare from an appropriately skilled and supported health worker.

#### THET in Somaliland

In 2011 THET's work in Somaliland grew further in response to the critical lack of health services and trained health workers in the region. Our local staff team, UK health professional volunteers from Kings Health Partners (KTSP) and others further developed partnerships with the Ministry of Health and civil society health worker training institutions and professional associations. As a result significant progress was made towards improving the health workforce capacity.

#### 2011 Highlights

- Ongoing technical training and support to nurses, midwives, doctors, pharmacists and others
- Ongoing capacity building for health institutions and associations delivering training to health workers
- Introduction of the first BSc Midwifery degree in the country.

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## TRUSTEES' REPORT YEAR ENDED 31 DECEMBER 2011

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- Production of a new National Health Policy following a comprehensive stakeholder engagement process
- Development of the first national policies and tools for Human Resource Management, including the introduction of job descriptions, contracts and attendance management for health workers and managers
- Continuous improvement in partnership development and programme management
- New office set up and increased support and on the job training to partners from a growing local staff team In Hargeisa

### THET in Zambia

There is a significant deficit of adequately trained health workers in Zambia; for example only 2 psychiatrists, 2 pathologists, and 11 anaesthetists. By engaging UK and other health professionals in both short and long term volunteering within the context of an overall DFID funded 'Strengthening Training and Education of Health Workers Zambia' Programme, THET provides critical training for essential health workers, including nurses and midwives, specialists in anaesthesia, pathology and psychiatry, as well as biomedical engineering.

### 2011 Highlights

- 16 short-term volunteers delivered a total of 34 weeks of teaching support to the School of Medicine
- A biomedical engineering technician (BMET) diploma curriculum was developed in collaboration with the Technical Education, Vocational and Entrepreneurship Training Authority (TEVETA)
- 5 nursing schools were provided with teaching support, by long-term volunteer nurse tutors and teaching resources, including books, computers, and skills laboratory equipment
- 3 houses were built as a retention strategy for staff in rural areas

In 2011, 5 psychiatry trainees, 4 pathology trainees and 8 anaesthesia trainees were enrolled on the THET-supported MMeds.

### Health Partnerships/Links

In March 2011 the final round of grants under the **International Health Links Funding Scheme** were awarded. THET continued to support grant-holders throughout the year with workshops being held in the UK, Uganda, Nepal and Ghana.

In summer 2011, THET was chosen to deliver the UK government's four year £20 million Health Partnership Scheme (HPS). HPS builds on the International Health Links Funding Scheme to deliver an expanded programme that will contribute to Millennium Development Goals 4, 5 and 6 and strengthen the capacity of Health Partnerships in the UK to deliver effective projects in collaboration with their overseas partners. HPS provides grant funding, advice and support to partnerships and

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## TRUSTEES' REPORT YEAR ENDED 31 DECEMBER 2011

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promotes UK involvement in volunteering. From autumn 2011, the new staff team focussed on the detailed design and development of the programme and the grants selection processes. The first grants under the Scheme were awarded at the end of the year.

### **Medical Equipment**

During 2011, a special emphasis was given to issues to do with and the need for Partnerships to adopt best practice when considering donations of equipment overseas. A very well attended workshop brought together Partnerships and other organisations with an interest in the appropriate use, maintenance and management of medical equipment in developing countries.

### **International Citizen Service (ICS)**

THET successfully concluded the pilot of the International Citizen Service (ICS) – an 18 month programme run in collaboration with King's Health Partners which placed volunteers, between the ages of 18 and 24, in existing health partnerships across Africa; 4 in Sierra Leone, 5 in Tanzania, 5 in Zambia and 6 in Zimbabwe. With guidance from experienced supervisors, these volunteers worked in multidisciplinary teams to design their own 12 week projects based on needs identified by local partners. ICS delivered a rich and well managed experience for the volunteers with substantial positive impacts for the host organisation.

### **Johnson & Johnson Fund**

In October 2011, THET awarded five grants of £6,300 under the Strengthening Surgical Capacity grants scheme which was funded by Johnson & Johnson. The grants were given to health links or individuals working in the field of surgery and/or anaesthesia in countries that have a critical shortage of health workers.

### **African Partnership for Patient Safety (World Health Organisation)**

THET provided technical assistance to the WHO's APPS Partnerships programme as it expanded its activities.

<b>Assessing the value of our current portfolio through proactive evaluation and dialogue, and developing new areas of work</b>
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**Aim:** Programme Development

**Achievements:**

- There was a significant investment of time and energy from senior staff in the development and successful winning of the Heath Partnership Scheme (see above) and this has provided the major investment in THET's future portfolio over the first half of 2011

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- THET became the implementing agent of a 3 year DFID funded programme, supporting the training of Nutritionists and Dieticians in Zambia. The purpose of the Nutrition Programme is to improve human resources for health in Zambia through: i) the delivery of a Bachelor of Science (BSc) in Human Nutrition; and (ii) the development and delivery of a Master of Science (MSc) course in Human Nutrition.
- THET was awarded a sub-contract to implement activities under the 3 year UNICEF programme 'Advocacy and technical support for the development of national sexual and reproductive health and rights (SRHR) strategies and specific actions'. THET will work with local partners to improve the human resource and training quality environment in Somaliland and contribute to increased institutional capacity in Puntland.
- Exploratory discussions were also held regarding the potential for further programme development in Ethiopia, Uganda, South Sudan, as well as with NGO, academic and health institutions. These may lead to growth opportunities in 2012.

**Aim:** Actively maintain contact with previous partners, build new relationships, and develop new areas of work

### **Achievements:**

- The research and development of the HPS proposal involved significant discussion with a broad range of stakeholders with perspectives that are helping to shape our thinking about how THET can best engage in global health.
- THET has grown and developed a key aspect of work in the UK that facilitates UK health professional volunteering overseas over both short and long periods. THET will continue to improve and consolidate the environment for volunteering for health professionals across the UK with research projects, events and engagement activities.
- The engagement with the ICS programme also enabled THET to develop connections with partnerships and volunteering agencies involved with young future health leaders. This opportunity is likely to be built upon during 2012

**Aim:** Knowledge and learning

### **Achievements:**

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- With strengthened capacity to evaluate our projects and programmes, we articulated in detail how our programmes and partnerships contribute to health system strengthening and global health.
- Introduced improved tools to monitor our experiences and impact.
- A series of events, publications and online activities enabled members of the health partnership community to share insights and lessons.
- We committed ourselves to reflection, analysis and continuous improvement in the ways we work.

### GOAL 4: Building THET as a professional and respected organisation

During 2011, THET continued the process of professionalising in the following key areas:

**Human Resources:** The continuing development of HR policies and procedures has helped to ensure that THET's international workforce is properly managed and developed in pursuit of organisational goals. During the year we recruited an HR and Administration Officer.

**Security:** Through all THET's areas of activity the security of THET staff and volunteers is accorded the highest priority, particularly in Somaliland and Zambia where security management procedures are kept under frequent review in light of threat levels that can change rapidly.

**Fundraising & Communications:** Unrestricted income is essential to enable THET to develop new opportunities for programme work. A new fundraiser was appointed and has ensured that robust processes are in place for managing the relationship with donors and a full-time Communications Coordinator has enabled THET to extend its effective reach amongst supporters and individuals.

**Finance:** With increasing amounts of grant income under THET's control, additional staff were recruited to the finance team across all its offices - London, Lusaka and Hargeisa. The new staff have enabled the development and implementation of processes to cope with the greater volume of transactions and to ensure that THET is able to discharge obligations of stewardship to the full. All finance staff are encouraged to pursue a course of professional development.

Professionalising THET encompasses compliance with all relevant legislation and good practice and the introduction and development of policy and procedure in functional areas.

### Plans for the Future 2012

**We will continue further delivery of THET's strategic plan (2010-2013), under four key goals:**

**Promote the engagement of UK health professionals in global health:** We will continue to increase THET's external engagement and reach; HPS has significantly increased THET's external profile and potential to engage with influential actors across the global health agenda. The expansion of the staff team for the HPS programme means that we are engaging with more stakeholders and key

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players in greater depth.

**Improve global health services through delivery of strategic tailored programmes:** The focus and energy for the growth and development of the new areas of work across all the programmes requires systematic analysis, creative design and development, team building and dialogue. We will continue to ensure that quality work is delivered and demonstrated with a focus on process and programme continuous improvement.

**Assess the value of our current portfolio through proactive evaluation and dialogue, and develop new areas of work:** THET is a significantly different organisation at the start of 2012 to where it was in January 2011 and as such there will be an organisational strategy review carried out over the first half of the year that will give direction and priorities for developing new areas of work, with a focus on financial sustainability over the longer term.

**Build THET as a professional and respected organisation:** As THET continues to grow from a small to mid-size organisation the senior management will have a key focus on organisational development aspects around change management, recruiting staff, building teams, strengthening internal processes and facilitating cross organisation communications and dialogue.

### Charitable funds

The funds held by THET are in interest-bearing accounts managed by the Charities Aid Foundation from which they can be withdrawn as needed. The Trust is able to meet all its obligations and commitments within its present cash flow and assets.

The total of funds that are in deficit at the year-end (£39,611) (2010 £(690)) represents expenditure on projects in anticipation of income. In all cases income has been received during the new year to match or exceed the year-end deficit. THET does not hold assets except as detailed in the accounts. There has been no change in accounting policies this year. The salaries of project staff are derived mainly from grants. THET has no subsidiary undertaking; there have been no important post balance sheet events. The Trust has no connected charities. THET operates in collaboration with other charitable bodies to pursue its objectives.

### Public benefit

In order to demonstrate the benefit of our work, this Trustees' report outlines our key achievements against what we said we would do in last year's report and states our priorities for the year ahead. In producing this report, THET's Board of Trustees can confirm that they have complied with the duty in section 4 of the Charities Act 2006 to have due regard to Charity Commission guidance on public benefit.

### Reserves Policy

The level of unrestricted reserves increased to £329,216 during 2011 (2010 £268,020). After consideration of the reliability of THET's income and its level of committed expenditure, Trustees

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## TRUSTEES' REPORT YEAR ENDED 31 DECEMBER 2011

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have concluded that this figure is in line with their target based on the 2012 forecast of six months' support costs plus six months' costs of governance.

Trustees will therefore seek to ensure that reserves are maintained at this level for the time being.

### **Investment Policy**

Investments must be managed in such a way as to provide an income to the charity. The value of investments assets should aim to keep pace with inflation in the long term. An approximate balance is to be maintained between the enhancement of capital and the generation of income. A "low risk" approach is to be adopted in the management of the charity's assets. The objects of the charity are to be met by way of a prudent investment strategy based on a diversified range of bonds and equities which are quoted on a recognised investment exchange, and unit trusts and Open Ended Investment Companies (OEICs) which are authorised under the Financial Services and Markets Act 2000. For the time being Trustees do not consider that external investment advice is required.

The portfolio should not include any investments in companies associated with tobacco products or the arms trade. No further ethical restrictions apply, although Trustees reserve the right to exclude from the portfolio any investments in companies whose representation might prove damaging, directly or indirectly, to the purposes or reputation of the charity.

The performance of the overall portfolio will be monitored by the Trustee Board as a whole at least once each year.

This investment policy is subject to periodic review by the Trustee Board to ensure that it remains compatible with the charity's objects and requirements.

### **Trustees' responsibilities**

Company law requires the Trustees to prepare accounts for each financial year which give a true and fair view of the state of affairs of the charity and of the surplus or deficit of the charity for that period. In preparing these accounts, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- make judgements and estimates that are reasonable and prudent;
- prepare the accounts on the going concern basis unless it is inappropriate to presume that the charity will continue in operation.

The Trustees are responsible for keeping proper accounting records which disclose with reasonable accuracy at any time the financial position of the charity and to enable them to ensure that the accounts comply with the Companies Act 2006 and Statement of Recommended Practice "Accounting and Reporting by Charities" (SORP 2005). They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud

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and other irregularities.

### Each of the Trustees confirm that

- so far as they are aware there is no relevant audit information of which the charity's auditors are unaware, and,
- they have taken all the necessary steps that they ought to have taken as Trustees in order to make themselves aware of any relevant audit information and to establish that the charity's auditors are aware of that information.

This report has been prepared in accordance with the special provisions of Part 15 of the Companies Act, 2006 relating to small companies.

Approved by the Board of Trustees on 26 July 2012 and signed on its behalf by

Professor Sir Andy Haines

.....  
Chairman of the Trustees

26 July 2012

# TROPICAL HEALTH AND EDUCATION TRUST

## INDEPENDENT AUDITOR'S REPORT YEAR ENDED 31 DECEMBER 2011

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### **Independent Auditor's Report to The Members of Tropical Health and Education Trust**

We have audited the financial statements of Tropical Health and Education Trust for the year ended 31 December 2011 which comprise the Statement of Financial Activities, the Balance Sheet, and the related notes. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

This report is made solely to the charity's members, as a body, in accordance with Chapter 3 of Part 16 of the Companies Act 2006. Our audit work has been undertaken so that we might state to the charity's members those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's members as a body, for our audit work, for this report, or for the opinions we have formed.

### **Respective responsibilities of Trustees and auditor**

As explained more fully in the Trustees' Responsibilities Statement, the Trustees (who are also the directors of the charitable company for the purposes of company law) are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view.

Our responsibility is to audit and express an opinion on the financial statements in accordance with applicable law and International Standards on Auditing (UK and Ireland). Those standards require us to comply with the Auditing Practices Board's (APB's) Ethical Standards for Auditors.

### **Scope of the audit of the financial statements**

An audit involves obtaining evidence about the amounts and disclosures in the financial statements sufficient to give reasonable assurance that the financial statements are free from material misstatement, whether caused by fraud or error. This includes an assessment of: whether the accounting policies are appropriate to the charitable company's circumstances and have been consistently applied and adequately disclosed; the reasonableness of significant accounting estimates made by the Trustees; and the overall presentation of the financial statements. In addition, we read all the financial and non-financial information in the Trustees' Annual Report to identify material inconsistencies with the audited financial statements. If we become aware of any apparent material misstatements or inconsistencies we consider the implications for our report.

### **Opinion on financial statements**

In our opinion the financial statements:

- give a true and fair view of the state of the charitable company's affairs as at 31 December 2011 and of its incoming resources and application of resources, including its income and expenditure, for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and

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## INDEPENDENT AUDITOR'S REPORT YEAR ENDED 31 DECEMBER 2011

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- have been prepared in accordance with the requirements of the Companies Act 2006.

### **Opinion on other matter prescribed by the Companies Act 2006**

In our opinion the information given in the Trustees' Annual Report for the financial year for which the financial statements are prepared is consistent with the financial statements.

### **Matters on which we are required to report by exception**

We have nothing to report in respect of the following matters where the Companies Act 2006 requires us to report to you if, in our opinion:

- adequate accounting records have not been kept or returns adequate for our audit have not been received from branches not visited by us; or
- the financial statements are not in agreement with the accounting records and returns; or
- certain disclosures of Trustees' remuneration specified by law are not made; or
- we have not received all the information and explanations we require for our audit; or
- the Trustees were not entitled to prepare the financial statements in accordance with the small companies regime and take advantage of the small companies exemption in preparing the Trustees' Annual Report.

Chris Evans (Senior Statutory Auditor)  
for and on behalf of Target Accountants (London) Limited  
Statutory Auditor

76 Shoe Lane  
London  
EC4A 3JB

31 July 2012

# TROPICAL HEALTH AND EDUCATION TRUST

## STATEMENT OF FINANCIAL ACTIVITIES (incorporating Income and Expenditure Account) YEAR ENDED 31 DECEMBER 2011

2010 Total £		Note	Unrestricted Funds £	Restricted Funds £	2011 Total £
<b>INCOMING RESOURCES</b>					
<b>Incoming resources from generated funds</b>					
179,527	Voluntary income	2	115,845	100,470	216,315
Activities for generating funds					
11,637	Events		4,805		4,805
5,894	Fees		12,883		12,883
328,040	IHL Funding Scheme Fees		317,384		317,384
	HPS Fees		283,529		283,529
4,957	Investment income		2,960		2,960
<b>Incoming resources from charitable activities</b>					
Operational programmes & projects					
2,606,954	Grants	3		3,834,602	3,834,602
<u>3,137,009</u>	<b>Total incoming resources</b>		<u>737,406</u>	<u>3,935,072</u>	<u>4,672,478</u>
<b>RESOURCES EXPENDED</b>					
<u>50,036</u>	<b>Costs of generating voluntary income</b>	5	<u>25,584</u>	<u>-</u>	<u>25,584</u>
<b>Charitable activities</b>					
1,949,642	Operational programmes & projects	7		3,704,070	3,704,070
283,857	IHL Funding Scheme		225,158		225,158
	Health Partnership Scheme		56,562		56,562
367,012	Salaries & fees	8	284,237	337,502	621,739
87,508	Other support costs	9	50,629	60,117	110,746
<u>2,688,019</u>	<b>Costs of charitable activities</b>		<u>616,586</u>	<u>4,101,689</u>	<u>4,718,275</u>
<u>26,754</u>	<b>Costs of governance</b>	6	<u>31,888</u>	<u>-</u>	<u>31,888</u>
<u>2,764,809</u>	<b>Total resources expended</b>	4	<u>674,058</u>	<u>4,101,689</u>	<u>4,775,747</u>
372,200	<b>Net incoming (outgoing) resources</b>		63,348	(166,617)	(103,269)
<b>Unrealised gains (losses) on investments</b>					
7,437		11	(2,152)	-	(2,152)
379,637	<b>Net movement in funds</b>		61,196	(166,617)	(105,421)
376,410	<b>Total funds brought forward at 1 January 2011</b>		268,020	488,027	756,047
<u>756,047</u>	<b>Total funds carried forward at 31 December 2011</b>		<u>329,216</u>	<u>321,410</u>	<u>650,626</u>

## **TROPICAL HEALTH AND EDUCATION TRUST**

### **STATEMENT OF FINANCIAL ACTIVITIES (incorporating Income and Expenditure Account) YEAR ENDED 31 DECEMBER 2011**

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All gains and losses recognised in the period are included above. The deficit for the year for Companies Act purposes, including realised gains and losses but excluding unrealised gains and losses on investments, would be £103,269 (2010: profit of £372,200).

# TROPICAL HEALTH AND EDUCATION TRUST

## BALANCE SHEET AS AT 31 DECEMBER 2011

2010 Total £		Note	2011 Total £
	<b>Fixed assets</b>		
2,621	Tangible assets	10	8,242
82,437	Investments	11	80,285
<u>85,058</u>			<u>88,527</u>
	<b>Current assets</b>		
219,512	Debtors	12	613,579
643,620	Cash at bank and in hand		136,094
<u>863,132</u>			<u>749,673</u>
(192,143)	<b>Creditors:</b> amounts falling due within one year	13	(187,574)
<u>670,989</u>	<b>Net current assets</b>		<u>562,099</u>
<u>756,047</u>	<b>Total net assets</b>		<u>650,626</u>
	<b>Represented by:</b>		
268,020	Unrestricted funds		329,216
488,027	Restricted funds		321,410
<u>756,047</u>	Total funds and reserves	14	<u>650,626</u>

These financial statements have been prepared in accordance with the special provisions of Part 15 of the Companies Act 2006 relating to small companies and with the Financial Reporting Standard for Smaller Entities (effective April 2008).

Approved on behalf of the Trustees

.....  
 Professor Sir Andy Haines  
 Chairman of the Trustees

Date: 26 July 2012

Company No. 5708871

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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### 1 ACCOUNTING POLICIES

#### a Accounting convention

The financial statements have been prepared under the historical cost convention, except for revaluation of investments, and in accordance with the Financial Reporting Standard for Smaller Entities (effective April 2008). The financial statements have been prepared in accordance with the Statement of Recommended Practice (SORP), "Accounting and Reporting by Charities" published in March 2005 (revised May 2008), applicable accounting standards and the Companies Act 2006.

#### b Incoming resources

All income is accounted for when the charity has entitlement to the funds, the amount can be quantified and there is certainty of receipt. Where income is received in advance of providing services, it is deferred until THET becomes entitled to that income.

Donations are recognised in the statement of financial activities in the year in which they are received.

Investment income is recognised in the statement of financial activities in the year in which it is receivable.

Income from legacies is recognised in the statement of financial activities at the earlier of the date on which the estate is finalised, or when proceeds are received.

Donated assets and services are included at the value to THET where this can be reliably quantified. Donated services from our volunteers are not included within the financial statements.

#### c Resources expended

Liabilities are recognised as resources expended where there is a legal or constructive obligation committing the charity to the expenditure. All expenditure is accounted for on an accruals basis.

Costs of charitable activities are the costs applied by the charity in undertaking its work and achieving its charitable objectives, as opposed to the cost of raising funds to finance those objectives.

Governance costs are the costs associated with the governance arrangements of the charity that relate to the general running of the charity, as opposed to those costs associated with fundraising or charitable activity.

Non-directly attributable costs are allocated based on an estimate of time spent.

Value Added Tax which is not recoverable by the charity, is included in the relevant costs in the statement of financial activities.

#### d Fund accounting

Funds held by the Charity are either:-

- Unrestricted general funds - are funds which can be used in accordance with the charitable

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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objects at the discretion of the Trustees

- Designated funds – are funds set aside for specific purposes from THET’s own reserves.
- Restricted funds - are funds that can only be used for particular restricted purposes within the objects of the charity. Restrictions arise when specified by the donor or when funds are raised for particular purposes.

### e Tangible fixed assets and depreciation

Tangible fixed assets are stated at cost less depreciation. A capitalisation limit of £500 has been applied.

Depreciation is provided on all tangible fixed assets at rates calculated to write off the cost less estimated residual value of each asset over its expected useful life, which is reviewed annually. The rates used are as follows:-

Furniture and fittings	-	33½% straight line
Computing equipment	-	33½% straight line
Office equipment	-	33½% straight line

### f True and Fair View

The charity does not seek to make a profit, nor can its results be measured by normal commercial criteria. In order that a true and fair view of the activities of the charity is given a statement of financial activities has been included in place of a profit and loss account as required by the Companies Act 2006.

### g Pension

THET operates a defined contribution pension scheme for the benefit of staff. Contributions by THET to the scheme are charged in the statement of financial activities in the period in which the employment services qualifying for the benefit are provided. THET has no further obligations once the contributions have been paid.

### h Investments

Fixed asset investments are included at market value at the balance sheet date.

Realised gains and losses on investments are calculated as the difference between sales proceeds and their market value at the start of the year, or their subsequent cost, and are charged or credited to the statement of financial activities in the year of disposal.

Unrealised gains and losses represent the movement in market values during the year and are credited or charged to the statement of financial activities based on the market value at the year end.

### i Leases

Rental payments under operating leases are charged as expenditure is incurred over the term of the lease.

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

<b>2 VOLUNTARY INCOME</b>	Unrestricted Funds £	Restricted Funds £	<b>2011 Total £</b>	<b>2010 Total £</b>
Donations	85,134	13,789	98,923	36,918
Donations – Rent	25,000	-	25,000	25,000
Legacies	-	-	-	39,201
Grants receivable	5,711	-	5,711	31,221
Donated services (KCH staff)	-	86,681	86,681	47,187
	<b>115,845</b>	<b>100,470</b>	<b>216,315</b>	<b>179,527</b>
<b>3 GRANTS</b>	Unrestricted Funds £	Restricted Funds £	<b>2011 Total £</b>	<b>2010 Total £</b>
Grants from UK Government:				
Department for International Development:				
Somaliland (via PSI)	-	1,918,410	1,918,410	620,002
International Health Links Funding Scheme	-	1,036,325	1,036,325	1,041,584
Somaliland	-	-	-	257,910
Zambia	-	445,747	445,747	222,668
	-	<b>3,400,482</b>	<b>3,400,482</b>	<b>2,142,164</b>
Department of Health: 200,000				
Other Grants:				
Other Agencies	-	215,755	215,755	25,518
European Commission	-	154,357	154,357	160,051
Trusts	-	64,008	64,008	79,221
<b>TOTAL</b>	-	<b>3,834,602</b>	<b>3,834,602</b>	<b>2,606,954</b>

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 4 TOTAL INCOMING RESOURCES/ (RESOURCES EXPENDED)

This is stated after charging / (crediting):	<b>2011</b>	<b>2010</b>
Auditors' remuneration – audit fees (including VAT)	£7,200	£5,700

### 5 COSTS OF GENERATING VOLUNTARY INCOME

	<b>2011</b>	<b>2010</b>
	£	£
Direct Salaries	10,730	27,472
Indirect Salaries	7,110	6,537
Total of Direct & Indirect Salaries (see Note 8)	17,840	34,009
Other Direct Costs	4,566	7,928
Indirect Support Costs (see Note 9)	3,178	8,099
	<u>25,584</u>	<u>50,036</u>

### 6 GOVERNANCE COSTS

	<b>2011</b>	<b>2010</b>
	£	£
Indirect Salaries (see Note 8)	19,805	13,451
Trustees' Expenses & Insurance	2,745	3,648
Annual Review	610	1,395
Audit & Professional Fees	5,200	5,100
Indirect Support Costs (see Note 9)	3,528	3,160
	<u>31,888</u>	<u>26,754</u>

No trustees received remuneration for their services. During the year one trustee (2010: one) received reimbursement of travel and subsistence expenses totalling £248 (2010 £781).

### 7 CHARITABLE ACTIVITIES

	<b>2011</b>	<b>2010</b>
	£	£
Direct Expenditure on Programmes and Projects (see Note 20)	2,667,745	908,058
Grants (see Note 21)	1,036,325	1,041,584
	<u>3,704,070</u>	<u>1,949,642</u>

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 8 STAFF COSTS (TOTAL)

	<b>2011</b>	<b>2010</b>
	£	£
Salaries & Fees	583,842	373,506
Social Security	58,865	36,719
Pension	16,677	4,247
	<hr/> 659,384	<hr/> 414,472

These costs are charged to Charitable Activities (Unrestricted funds), Generating Income and Governance. The indirect charges are a proportion of unrestricted costs based on time spent by the Chief Executive, Finance Director and other Administrative staff.

	<b>2011</b>	<b>2010</b>
	£	£
Charitable Activities:		
Restricted	337,502	327,444
Unrestricted	284,237	39,568
	<hr/> 621,739	<hr/> 367,012
Total per Statement of Financial Activities		
Costs of Generating Income – Indirect Salaries (see Note 5)	17,840	34,009
Costs of Governance – Indirect Salaries (see Note 6)	19,805	13,451
	<hr/> 659,384	<hr/> 414,472

The average number of employees during the year was as follows:

	<b>2011</b>	<b>2010</b>
	No.	No.
Charitable Activities Programme & Projects	15.6	10.0
Administration	5.3	4.0
Generating Income	0.5	1.0
	<hr/> 21.4	<hr/> 15.0

The number of full-time equivalent posts at the end of the year was 25.2. No employee received emoluments in excess of £60,000 in the year.

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

9 SUPPORT COSTS	2011	2010
	£	£
Administration/Office Expenses	56,730	46,007
Personnel	4,888	4,611
Accommodation	55,834	48,149
	117,452	98,767

The following allocation of Unrestricted Support Costs was done pro rata to the estimated time spent by staff (see Note 8).

	2011	2010
	£	£
Charitable Activities:		
Restricted	60,117	78,057
Unrestricted	50,629	9,451
Total per Statement of Financial Activities	110,746	87,508
Costs of Generating Income - Indirect Support Costs (see Note 5)	3,178	8,099
Costs of Governance - Indirect Support Costs (see Note 6)	3,528	3,160
	117,452	98,767

## 10 TANGIBLE ASSETS

	Furniture & Fittings	Computing Equipment	Office Equipment	Total
	£	£	£	£
Cost	2,434	17,482	477	20,393
<u>Less</u> Depreciation brought forward	(2,434)	(14,861)	(477)	(17,772)
Net Book Value brought forward	-	2,621	-	2,621
<u>Less</u> Depreciation for year	-	(3,719)	-	(3,719)
Additions during year	-	9,340	-	9,340
Net Book Value carried forward	-	8,242	-	8,242

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 11 TANGIBLE ASSETS (INVESTMENTS)

	<b>2011</b>	<b>2010</b>
	£	£
Market Value at 1 January 2011	82,437	75,000
Net unrealised investment (loss)/gain	(2,152)	7,437
Market value at 31 December 2011	<u>80,285</u>	<u>82,437</u>
Historic cost at 31 December 2011	<u>75,000</u>	<u>75,000</u>

At the year-end the fixed asset investments were invested in the following funds:

CF Ruffer Total return Fund OEIC Acc	53,985	53,642
Blackrock Charishare Tobacco Restricted Common Investment Fund A Acc	26,300	28,795
	<u>80,285</u>	<u>82,437</u>

### 12 DEBTORS

	<b>2011</b>	<b>2010</b>
	£	£
Income tax recoverable	5,122	2,210
Accrued income	595,327	206,237
Prepayments	13,130	11,065
	<u>613,579</u>	<u>219,512</u>

### 13 CREDITORS

	<b>2011</b>	<b>2010</b>
	£	£
Deferred Income	47,289	97,289
Accruals	69,444	75,337
Other taxes and social security	39,789	14,504
Trade Creditors	31,052	5,013
	<u>187,574</u>	<u>192,143</u>

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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### 13 CREDITORS (contd)

Deferred income relates to donations received in respect of the Anniversary Campaign Appeal which closed on 31 December 2009. The Board decided to defer releasing the funds to income until 2011 and beyond. This is in line with the purposes set out in the campaign literature of investing in THET's future.

Included in accruals is £2,750 (2010 £1,848) of accrued pension contributions.

### 14 STATEMENT OF FUNDS

	Balance at 1 Jan 2011	Surplus/ (Deficit)	Transfers between funds	Balance at 31 Dec 2011
	£	£	£	£
<b>Designated funds</b>				
Programme development fund	18,484	-	-	18,484
Restricted legacy	12,620	-	-	12,620
	<u>31,104</u>	<u>-</u>	<u>-</u>	<u>31,104</u>
<b>General funds</b>				
General funds	<u>236,916</u>	<u>61,196</u>	<u>-</u>	<u>298,112</u>
Total unrestricted funds	<u>268,020</u>	<u>61,196</u>	<u>-</u>	<u>329,216</u>

#### Programme development fund

This is a designated fund supporting THET's programme development in various countries, including recent programme development and monitoring meetings in East Africa, travel for staff to attend Links meetings across the UK, and grant application meetings.

#### Restricted legacy

THET is in receipt of a legacy and in discussions with a number of health links as to its use.

#### General funds

The general funds are the accumulation of surpluses, less deficits, on the income and expenditure account, together with profits, less losses on the sale of general fund fixed assets, bequests for general purposes of the charity and various grants towards fixed assets.

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 14 STATEMENT OF FUNDS (contd)

	Balance at 1 Jan 2011	Surplus/ (Deficit)	Transfers between funds	Balance at 31 Dec 2011
	£	£	£	£
<b>Summary of funds</b>				
Designated funds	31,104	-	-	31,104
General funds	236,916	61,196	-	298,112
	<u>268,020</u>	<u>61,196</u>	<u>-</u>	<u>329,216</u>
Restricted (see note 20)	488,027	(166,617)	-	321,410
	<u>756,047</u>	<u>(105,421)</u>	<u>-</u>	<u>650,626</u>

Restricted funds represent balances held for disbursement against specific projects.

Analysis of assets between funds:

	Assets	Cash	Debtors	Creditors	Total 2011	Total 2010
	£	£	£	£	£	£
Unrestricted	88,527	270,816	128,598	(158,725)	329,216	268,020
Restricted	-	(134,722)	484,981	(28,849)	321,410	488,027
	<u>88,527</u>	<u>136,094</u>	<u>613,579</u>	<u>(187,574)</u>	<u>650,626</u>	<u>756,047</u>

### 15 FINANCIAL COMMITMENTS

At the date of approval of the financial statements the charity was committed to making the following payments under non-cancellable operating leases:

	2011 £	2010 £
Operating leases which expire between two and five years	<u>£92,000</u>	<u>£46,520</u>

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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### 16 CONTINGENT ASSET LEGACY

At the year-end a property had been bequeathed in equal share to THET and two other beneficiaries. The amount which is available in the estate for distribution to the beneficiaries had not yet been finalised and as such no amount of income has been recognised in the financial statements in relation to this property.

### 17 FUNDS HELD AS INTERMEDIARY AGENT

At the year-end THET acted as intermediary agent for the following organisations. The balances stated represent cash at bank. The following balances, and any income and expenditure in the period, have not been included in the financial statements:

	Balance held at 1 Jan 2011 £	net receipts/ (payments)	Balance held at 31 Dec 2011 £
Links Lusaka	15,574	(911)	14,664
Gondar Dermatology Research	1,560	850	2,410
Island Hospice	3,703	(1,463)	2,240
	<hr/> 20,837	<hr/> (1,524)	<hr/> 19,314

#### **Links Lusaka**

These funds are being held by THET on behalf of a Link between Lusaka's University Teaching Hospital and Brighton and Sussex University Hospitals and Medical School to support their two-way trips by nurses, doctors, librarians and other allied health professionals for teaching and CPD.

#### **Gondar Dermatology Research**

These funds are held on behalf of Dr Paul Buxton, a member of the Gondar – Leicester Health Link, to provide a subscription to the Community Dermatology Journal and support travel and conferences relating to dermatology research in northern Ethiopia.

#### **Island Hospice**

Incoming funds from crematorium fees are donated by doctors at a number of hospices and are used to support medical training and exams in Bulawayo, Zimbabwe.

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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### **18 COMPANY STATUS**

The charity is a company limited by guarantee. The members of the company are the Trustees named on page 3. In the event of the company being wound up, the liability in respect of the guarantee is limited to a maximum of £1 from each of the Trustees.

### **19 CONTROLLING PARTY**

In the opinion of the Trustees, the charity has no ultimate controlling party.

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 20 STATEMENT OF RESTRICTED FUNDS

	Balance at 1 Jan 2011 £	Income during the year £	Expenses during the year £	Balance at 31 Dec 2011 £
<b>INTERNATIONAL</b>				
IHLFS – Grants (See Note 21)	-	1,036,325	1,036,325	-
IHLFS – Department of Health	193,200	-	109,338	83,862
International Citizenship Service	-	137,831	106,692	31,139
Johnson & Johnson	-	35,000	15,766	19,234
Principles of Medicine in Africa 4th Ed.	58,482	-	51,247	7,235
Improving Understanding between UK Health Professionals	1,324	-	-	1,324
Tropical Doctor Subscriptions	2,910	5,671	8,581	-
African Partnerships for Patient Safety	1,211	1,788	2,999	-
<b>Total International</b>	<b>257,127</b>	<b>1,216,615</b>	<b>1,330,948</b>	<b>142,794</b>
<b>SOMALILAND</b>				
Health Consortium Somalia (DFID)	121,482	1,918,410	1,908,545	131,347
EC Non-State Actors	24,421	241,038	265,459	-
HGH/Comic Relief Building Referral	35,461	52,136	34,313	53,284
Trocaire/Gedo Nurse Training	-	12,813	16,876	(4,063)
Kings IDU: Educational Support	1,140	-	1,140	-
<b>Total Somaliland</b>	<b>182,504</b>	<b>2,224,397</b>	<b>2,226,333</b>	<b>180,568</b>
<b>ZAMBIA</b>				
Strengthening, training & educating health workers in Zambia	-	446,134	479,884	(33,750)
Zambia UK Health Workforce Alliance	7,272	30,000	27,062	10,210
Zambia UK Health Workforce Alliance Additional	1,194	-	283	911
Zambia Cornish Nurse Training	-	10,000	7,078	2,922
Zambia Nutrition Programme	-	-	1,798	(1,798)
<b>Total Zambia</b>	<b>8,466</b>	<b>486,134</b>	<b>516,105</b>	<b>(21,505)</b>

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 20 STATEMENT OF RESTRICTED FUNDS (contd)

	Balance at 1 Jan 2011 £	Income during the year £	Expenses during the year £	Balance at 31 Dec 2011 £
<b>ETHIOPIA</b>				
Ethiopia Research	12,822	4,187	4,375	12,634
Strengthening Basic Health Services	6,053	11,900	13,161	4,792
MCH Strengthening in Southern Ethiopia	(690)	10,000	9,310	-
Gondar MSc for Health Professionals	1,350	-	1,350	-
Gondar Surgery Training	-	(1,350)	(1,350)	-
<b>Total Ethiopia</b>	<b>19,535</b>	<b>24,737</b>	<b>26,846</b>	<b>17,426</b>
<b>UGANDA</b>				
Uganda Development	2,127	-	-	2,127
Strengthening PGME in Africa	1,648	-	1,648	-
<b>Total Uganda</b>	<b>3,775</b>	<b>-</b>	<b>1,648</b>	<b>2,127</b>
<b>GHANA</b>				
Strengthening Nurse Training	16,620	(16,811)	(191)	-
<b>Total Ghana</b>	<b>16,620</b>	<b>(16,811)</b>	<b>(191)</b>	<b>-</b>
<b>TOTAL</b>	<b>488,027</b>	<b>3,935,072</b>	<b>4,101,689</b>	<b>321,410</b>

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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### **IHLFS – Grants**

Launched in August 2009, the International Health Links Funding Scheme (IHLFS) has made available £2,847,000 of grant funds to Links to implement projects. In total, the IHLFS has awarded 45 small grants up to £3,000 for 'brokering' or supporting the development of new and young Links, 49 medium grants up to £15,000 over one or two years, and 11 large grants up to £60,000 p.a. over three years.

### **IHLFS – Department of Health**

The aim of this grant is to increase the impact of Links' work overseas by building their capacity, enhancing the quality of their work and improving their ability to demonstrate the positive impact of their contribution to improving health systems in developing countries. The programme activities focus on achieving this through a more structured engagement of NHS institutions, further capacity building for Links volunteers and funding Links projects.

### **International Citizenship Service**

THET successfully concluded the pilot of the International Citizen Service (ICS) – an 18 month programme run in collaboration with King's Health Partners which placed volunteers, between the ages of 18 and 24, in existing health partnerships across Africa.

### **Johnson and Johnson Fund**

In October 2011, THET awarded five grants of £6,300 under the Strengthening Surgical Capacity grants scheme which was funded by Johnson & Johnson. The grants were given to health links or individuals working in the field of surgery and/or anaesthesia in countries that have a critical shortage of health workers.

### **Principles of Medicine in Africa 4th Edition.**

This DFID grant is held by THET and is being used for the latest revision of the 'Principles of Medicine in Africa' book, including covering travel, meeting and editing costs.

### **Improving Understanding between UK Health Professionals**

The purpose of this project has been to increase participation in Health Links and build a broader, stronger, multi-actor movement behind International Health Links in the UK; to ensure that the multiple benefits of participation in Health Links for individual UK health volunteers, the institutions they work for and the communities they serve, are understood and recognised by stakeholders; and to increase our understanding of how these benefits are best captured, as well as to run a project to evaluate how THET can best contribute to and support this capture.

### **Tropical Doctor Subscriptions**

The Beit Trust has supported THET for over 20 years to enable the provision of subscriptions to the Tropical Doctor journal for approximately 200 hospitals in Zimbabwe, Malawi and Zambia. The journal provides an essential forum for sharing experiences and establishing best practice, aiding communication between medical professionals around the world.

### **African Partnerships for Patient Safety**

THET was contracted by the World Health Organisation's African Partnerships in Patient Safety Initiative to develop a grant mechanism framework and partner hospitals' guidance document.

### **Somaliland: Health Systems Strengthening Phase Two**

DFID's funding over 5 years for the 'Health Consortium Somalia' programme is supporting a coherent health systems strengthening programme. This will provide an essential package of Health Services to increase the range, quality and use of services and contribute to community stability in targeted areas in Somaliland through an NGO consortium.

### **Somaliland: EC Non-State Actors**

In 2009 EC approved a grant to support the improvement of the technical and managerial capacity of non-state health training and professional institutions, enabling them to contribute effectively to the human resource development and governance needs of the health sector. This is delivered through inputs of specialist technical assistance, over 30 months to seven non-state actors. The grant pays for the expenses associated with the technical assistance provided by Kings Health Partners to Somaliland, as almost all technical assistance is provided by volunteers.

### **Somaliland: HGH/EAH Building Referral**

This project, funded by Comic Relief, aims to provide accessible health care to the Somaliland community at the point of need, including free care for the poorest, by building the capacity of the Regional Health Bureau; establishing Hargeisa Group

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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Hospital as an effective community referral hospital, raising awareness, increasing use, updating staff skills and improving care, record keeping and management; and working with Community Health Committees to strengthen the relationship between the community and their referral hospitals.

### **Somaliland: Kings International Development Unit: Educational Support**

Kings IDU Educational Support aims to support clinician visits to Somaliland where there is no EC or DFID funding, with the original project objective of enabling additional Kings Health Partnership staff to participate in THET programmes in Somaliland. The project has enabled clinicians to visit Somaliland over this period to engage in a variety of activities related to THET programmes.

### **Zambia: Strengthening, training & educating health workers**

In response to a request by the Zambian Ministry of Health, THET and our partners are delivering this innovative and sustainable training and education project, focussing support on national nursing needs and assisting with the development and delivery of Master of Medicine courses in the selected clinical specialisms of pathology, anaesthetics, psychiatry and biomedical engineering.

### **Zambia UK Health Workforce Alliance**

Two substantial donations have been received to support the work of key members of the Zambia UK Health Workforce Alliance, a growing network of Zambian-based and UK-based organisations which work together to promote and improve the coordination and impact of Zambia-UK joint work in health.

### **Zambia UK Health Workforce Alliance Additional**

These funds were donated specifically to cover the costs of a conference held by the Zambia UK Health Workforce Alliance.

### **Zambia Cornish Nurse Training**

This project aims to improve the quality of nursing education in Zambia by providing teaching resources to schools of nursing.

### **Zambia Nutrition Programme**

DFID's funding over three years for the Nutrition Programme is supporting the School of Agriculture at the University of Zambia to deliver a BSc in Nutrition while also supporting faculty development and establishing a MSc in Nutrition.

### **Ethiopia Research**

- **Chronic Research:** The chronic disease programme enables patients to be seen at the health centre nearest their home around Gondar and Jimma; THET contributes funds for staff development and training, and the collection of data, which is the research base of our work
- **Ethiopia Association of Physicians:** This grant was awarded to Professor David Phillips to investigate, in rural and urban patients assembled through the chronic disease programme, whether early under-nutrition may be partly responsible for their atypical diabetes.
- **Ethiopia Epilepsy:** Chronic disease clinics include epilepsy. This fund was established as epilepsy attracts regular gifts from Southampton; these are used by Dr Martin Prevett, who has worked with THET for 15 years, for studies chiefly in rural patients at the chronic disease clinics.

### **Ethiopia: Strengthening Basic Health Services**

This grant has contributed, since July 2009, to salary costs for two THET positions: a Programme Officer and a Programme Development Manager, both of whom have had specific responsibilities for THET's work in Ethiopia with the overall aim to develop a programme of work that will strengthen basic health services in Ethiopia and improve the quality of care for patients. This has involved recognising and supporting opportunities for growth and innovation, as well as the dissemination of lessons learned, leading to increases in the quality and impact of existing projects and programmes.

### **Ethiopia: MCH Strengthening in Southern Ethiopia**

This three year project is on track to achieve its aim of improving the quality of care in the delivery of maternal and neonatal services to the 1.5 million women aged 15-49 who live in the Southern Nations and Nationalities Peoples Republic region by extending the Southern Ethiopia – Gwent Link's pioneering Continuing Professional Development (CPD) model to provide training to nurses and midwives engaged in the delivery of maternity services, and to improve access to essential teaching and reference materials.

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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### **Ethiopia: Gondar MSc for Health Professionals**

This project, which incorporates the use of funds from several earlier Ethiopia projects as described above, involves the establishment of a new Master's Degree programme in Advanced Laboratory and Clinical Practice at Gondar by the Gondar-Leicester Health Link. As of April 2011, the MSc core curriculum topics have been developed by UK and Ethiopian partners and were taught during the first semester of the programme as planned, with almost all of the 39 students achieving A and B grades.

### **Ethiopia: Gondar Surgery Training**

- **Postgraduate Training:** This postgraduate surgical skills programme, managed by the Gondar – Leicester Link, focused on running rural community placements for surgical trainees, providing life-saving operations in rural communities while increasing the skills and confidence of trainees. Due to this increased level of practical experience, Gondar's surgical programme is widely regarded as the most effective training programme of its type in Ethiopia.
- **CME (Health Officers):** This pilot project, which included identifying the learning needs of health officers and students, and training both groups in basic practical emergency skills, was developed up to a national level with the introduction of the Integrated Management of Essential and Emergency Surgical and Obstetric Care MSc.

### **Uganda Development**

It is planned that these funds will be used for a programme development visit or to provide a small grant.

### **Strengthening PGME in Africa**

These funds from the Royal College of Physicians and other individuals' contributions have been used to provide a monthly stipend to Professor Ian Wilson, who has been lecturing MMed students in Mbarara, as well as to pay for travel for visiting lecturers to Mbarara.

### **Ghana: Strengthening Nurse Training**

This project aimed to improve nursing care in northern Ghana by strengthening the training of student nurses at Bolgatanga Nurse Training College by improving practical training for students, clinical supervision, the students' practical work experience with communities, and the overall learning environment for the students. Significant progress was made towards these, as evidenced by the college receiving a higher national ranking for their exam results.

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 21 GRANT PAYMENTS MADE UNDER THE INTERNATIONAL HEALTH LINKS FUNDING SCHEME

	<b>2011 Grants £</b>
<b>Moorfields Eye Hospital</b>	60,000
Training programme to develop ophthalmic services at Korle Bu Teaching Hospital, Ghana & for West Africa	
<b>Royal College of Ophthalmologists</b>	58,400
Strengthening eye care training in Eastern Africa through VISION 2020 links	
<b>Institute of Psychiatry, King's College, London</b>	57,045
Integrating mental health into primary care in remote rural areas of Pakistan	
<b>NHS Tayside Health Board</b>	56,668
Reduction of Burn and Scald Mortality in Children in Malawi	
<b>Imperial College Healthcare Trust, London</b>	55,133
Reduce mortality of sick children in Rwanda by Emergency Triage/Treatment Course and improving care pathways	
<b>Mildmay Mission Hospital</b>	48,253
Strengthening HIV and AIDS Health Professionals' Education in areas of high HIV prevalence in Tanzania	
<b>Department of Anaesthesia and Pain Medicine</b>	38,000
Developing Palliative Care in sub-Saharan Africa through higher level training, Uganda	
<b>East London Foundation Trust - NHS Mental Health Trust</b>	32,453
Psychiatric Clinical Officer (PCO) Psychological Training and Projects, Uganda	
<b>Brighton &amp; Sussex University Hospitals NHS Trust</b>	27,826
Building Capacity in Paediatric Life Support Training in University Teaching Hospital, Zambia	
<b>Gloucestershire Hospitals NHS Foundation Trust</b>	26,813
Strengthening maternal and new-born health in the Kambia District of Sierra Leone	
<b>Countess of Chester Hospital NHS Foundation Trust</b>	19,017
A partnership project to develop and support capacity building of quality health care programmes in Kisiizi Hospital, Uganda	
<b>Southampton University Hospitals' NHS Trust</b>	14,250
Strengthening the healthcare system in the Upper East Region of Ghana through workforce capacity building	
<b>Nuffield Department of Clinical Medicine and Department of Intensive Care, University of Oxford</b>	14,250
Improving care for critically ill patients in a tertiary care facility in Chittagong, Bangladesh	
<b>Abertawe Bro Morgannwg University Local Health Board</b>	14,181
ABM University Health Board – Ola During Clinical Laboratory Services Development Project, Sierra Leone	

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 21 GRANT PAYMENTS MADE UNDER THE INTERNATIONAL HEALTH LINKS FUNDING SCHEME (contd)

	<b>2011 Grants £</b>
<b>Barnet Enfield and Haringey Mental Health Trust</b>	13,986
Barnet Enfield and Haringey Mental Health Trust; Sierra Leone Support Project	
<b>Basildon and Thurrock University Hospital</b>	13,975
Supporting the development of the first epilepsy management programme in Sierra Leone	
<b>North West Region, Chartered Institute of Environmental Health</b>	13,892
Improving the quality of environmental health professionals in Zambia	
<b>Aintree University Hospitals NHS Foundation Trust</b>	13,842
Improvement in hypertension management through introduction of nurse led hypertension clinics in a central hospital, Malawi	
<b>Mersey School of Endoscopy, Royal Liverpool University Hospital</b>	13,451
Sustainable partnerships in gastrointestinal endoscopy training & practice outreach to support district hospitals in Malawi	
<b>University Hospitals Bristol NHS Foundation Trust</b>	12,854
Child survival in Mbarara Regional Referral Hospital and surrounding Districts, Uganda	
<b>North Yorkshire and York NHS Community and Mental Health Services, UK</b>	12,752
Scaling up mental health services in Malawi through community support and services by Health Surveillance Assistants	
<b>Brighton and Sussex University Hospital Trust</b>	12,611
To establish a centre of excellence for ophthalmology in Zambia through the University Teaching Hospital, Lusaka – Brighton link	
<b>Powys (Teaching) Health Board</b>	11,905
Brecon Molo Health Link-measuring and acting together, Kenya	
<b>Royal Hospital for Sick Children, Edinburgh</b>	11,250
The development of paediatric oncology services in Ghana	
<b>Cwm Taf Local Health Board</b>	11,250
Development of an integrated emergency response service in Mbale region through the PONT Mbale link, Uganda	
<b>Alder Hey Children's NHS Foundation Trust</b>	11,250
Improving burn care in Nepal (Kanti-Alder Hey Burns Link)	
<b>Lancashire Care Foundation NHS Trust</b>	11,250
Improving access to psychosocial therapies within the Pakistan mental health services	
<b>Countess of Chester Hospital NHS Foundation Trust</b>	11,250
Improving maternal and infant mortality through education, training and service integration	

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 21 GRANT PAYMENTS MADE UNDER THE INTERNATIONAL HEALTH LINKS FUNDING SCHEME (contd)

	<b>2011 Grants £</b>
<b>Chartered Institute of Environmental Health, East Midlands Region</b>	11,250
Strengthening the performance of Environmental Health Professionals in Uganda	
<b>Alder Hey Children's NHS Foundation Trust</b>	11,250
The implementation of a suitable and sustainable emergency triage at Kanti Children's Hospital, Kathmandu	
<b>Hampshire Partnership NHS Foundation Trust</b>	11,249
Strengthening mental health clinical practice placements in Ghana through the teaching of teachers	
<b>University Hospital of South Manchester NHS Foundation Trust</b>	11,247
Gulu-Man Link - an educational intervention to reduce mortality and morbidity in northern Uganda	
<b>Hampshire Partnership NHS Foundation Trust</b>	11,247
Building the capacity of mental health educators in Ghana	
<b>Lancashire Care NHS Trust</b>	11,245
Aro primary care mental health service programme for Ogun State, Nigeria	
<b>Royal College of Nursing Scotland</b>	11,242
Nursing leadership and mentorship training for young Malawian Nurse/Midwives	
<b>Frimley Park Hospital NHS Foundation Trust</b>	11,220
Developing specialist eye care services for the people of northern Zambia	
<b>Western Health and Social Care Trust</b>	11,213
Improving children's eye care services in Kano, northern Nigeria	
<b>King's Health Partners (South London and Maudsley and Institute of Psychiatry - King's)</b>	11,175
Establishing a service for the homeless mentally ill in Ethiopia: an initial needs assessment	
<b>Southampton University Hospitals NHS Trust</b>	11,107
Building capacity for treatment of chronic disease in rural Ethiopia	
<b>Mid Cheshire Hospital NHS Foundation Trust</b>	11,100
Tackling avoidable blindness in the northern part of Ghana through a VISION 2020 link	
<b>Northumbria Healthcare NHS Foundation Trust</b>	11,045
Development of an ultrasound outreach clinic at Kibosho District Designated Hospital, Moshi District, Tanzania	
<b>Association of Anaesthetists of Great Britain and Ireland</b>	11,022
SAFE obstetric anaesthesia course, Uganda	

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 21 GRANT PAYMENTS MADE UNDER THE INTERNATIONAL HEALTH LINKS FUNDING SCHEME (contd)

	<b>2011 Grants £</b>
<b>Bromley by Bow Health Partnership</b>	11,009
Delivering Health - Bromley by Bow Health Centre in partnership with Juri sub-district, Bangladesh	
<b>Liverpool Women's Hospital</b>	10,781
Working in Partnership to improve outcomes in critically ill obstetric patients: The Liverpool-Mulago Partnership, Uganda	
<b>Hampshire Community Healthcare</b>	10,575
NHS/Tabora Link for improving global health: sharing skills and building staff capacity, Tanzania	
<b>NHS Borders</b>	10,364
To improve maternal mortality and morbidity in Zambia by reducing delays in intra-partum obstetric referrals	
<b>Royal Victoria Infirmary, Newcastle</b>	10,313
Malawi childhood cancer project at the Queen Elizabeth Central Hospital	
<b>Stoke Mandeville Hospital</b>	10,103
Building capacity for eye care services in Ethiopia through the Addis-Bucks VISION 2020 Link	
<b>Mersey School of Endoscopy, Royal Liverpool University Hospital</b>	9,465
Developing sustainable gastrointestinal endoscopy training in Malawi, to deliver a safe clinical service	
<b>East London NHS Foundation Trust (NHS Mental Health Trust)</b>	8,081
Safety and dignity in mental health care: Promoting skilled management of aggression and violence in inpatient care in Uganda	
<b>Basingstoke &amp; North Hampshire NHS Foundation Trust</b>	7,979
Improving the standards of clinical care at Hoima Hospital, Uganda	
<b>Global Health Unit, Kings Health Partners</b>	7,279
ICT Training for Clinical Officers to support health planning and delivery in Tanzania	
<b>University Hospital of South Manchester NHS Foundation Trust UHSM Academy</b>	5,681
An educational intervention to reduce neonatal morbidity and mortality in northern Uganda	
<b>Chelsea and Westminster Hospital NHS Foundation Trust</b>	4,950
Emergency maternity care project, Ethiopia	
<b>NHS Forth Valley</b>	4,455
Pebane Hospital Mozambique staff education and training programme and district healthworker development programme	

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 21 GRANT PAYMENTS MADE UNDER THE INTERNATIONAL HEALTH LINKS FUNDING SCHEME (contd)

	<b>2011 Grants £</b>
<b>Health Partnership Nepal</b> Improve survival of neonates undergoing surgery at Kanti Hospital, Kathmandu, Nepal	4,247
<b>Lothian Zambia Partnership</b> A needs assessment for implementation of a national cervical cancer prevention programme	4,000
<b>Stockport NHS Foundation Trust</b> Reduce neonatal deaths and improve new-born survival in the Zaria district of northern Nigeria	3,750
<b>University Hospital of South Manchester</b> Establishment of Link - Ayder Referral and Teaching Hospital, Ethiopia	3,000
<b>Birmingham and Solihull Mental Health NHS Foundation Trust</b> Establishment of Link - Co-operative Medical College, India	3,000
<b>NHS North Somerset Primary Care Trust</b> Establishment of Link - Zomba Mental Hospital, Malawi	3,000
<b>Epsom and St Helier Hospitals NHS Trust</b> Establishment of Link - Thyolo District Hospital, Malawi	3,000
<b>North Tees and Hartlepool NHS Foundation Trust</b> Establishment of Link - Battambang Provincial Referral Hospital, Cambodia	3,000
<b>Institute for Patient Care, University Hospital Coventry and Warwickshire</b> Establishment of Link - Uganda Cancer Institute, Kampala	3,000
<b>University College Hospitals, London</b> Establishment of Link - Bangabandhu Skeikh Mujib Medical University, Bangladesh	3,000
<b>University Hospital of North Staffordshire Trust</b> Establishment of Link - Livingstone General Hospital, Zambia	3,000
<b>The Priscilla Bacon Centre for Specialist Palliative Care Services, Norfolk Community Health and Care NHS Trust</b> Establishment of Link - Hospice Ethiopia	3,000
<b>Alexandra Hospital NHS Trust, Redditch</b> Establishment of Link - Lumley Government Hospital, Freetown, Sierra Leone	3,000
<b>Royal College of Pathologists</b> Establishment of Link - West African College of Physicians, Nigeria	3,000
<b>Pakistan Liaison Group of the Royal College of Obstetricians and Gynaecologists</b> Establishment of Link - Janum Network, Pakistan	3,000

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

### 21 GRANT PAYMENTS MADE UNDER THE INTERNATIONAL HEALTH LINKS FUNDING SCHEME (contd)

	<b>2011 Grants £</b>
<b>King's Centre for Global Health</b>	3,000
Establishment of Link - Ola Doring Children's Hospital, Sierra Leone	
<b>Barts and the London Childrens Hospital</b>	3,000
Establishment of Link - Dhaka Shishu (Children) Hospital, Bangladesh	
<b>Royal Pharmaceutical Society of Great Britain</b>	3,000
Establishment of Link - Pharmaceutical Society/Pharmacists Council of Zimbabwe	
<b>Norfolk and Norwich University Hospital NHS Trust</b>	2,999
Establishment of Link - Jonglei State Hospital, South Sudan	
<b>Southampton University Hospitals NHS Trust</b>	2,996
Establishment of Link - Eye Units, Mombasa, Kenya	
<b>Central North West London NHS Foundation Trust</b>	2,994
Establishment of Link - Mirembe Hospital and Nursing School, Tanzania	
<b>Neurological Science Research Unit, Walton Centre NHS Foundation Trust</b>	2,992
Establishment of Link - Kanti Children's Hospital, Kathmandu, Nepal	
<b>Cardiff School of Health Sciences</b>	2,980
Establishment of Link - Ghana Health Service	
<b>InterHealth</b>	2,980
Establishment of Link - Amani Couselling Centre and Training Institute, Kenya	
<b>North Bristol NHS Trust</b>	2,970
Establishment of Link - Mpilo Hospital, Zimbabwe	
<b>Renal Unit, Barts and the London NHS Trust</b>	2,970
Establishment of Link - Queen Elizabeth Central Hospital, Blantyre, Malawi	
<b>Winchester and Eastleigh Healthcare NHS Trust</b>	2,935
Establishment of Link - Yei Civil Hospital, South Sudan	
<b>MANSAG, Plymouth Hospital NHS Trust</b>	2,920
Establishment of Link - Baptist University Teaching Hospital, Nigeria	
<b>Guy's and St Thomas NHS Hospital</b>	2,800
Establishment of Link - Turai Yar Aduwa Mother and Child Hospital, Nigeria	
<b>Bolton NHS Foundation Trust</b>	2,600
Establishment of Link - Lagos University Teaching Hospital, Nigeria	
<b>St John Ambulance Somerset/South West Ambulance Service Trust</b>	2,419
Establishment of Link - St John Ambulance Kenya	
<b>Birmingham Heartlands Hospital NHS Foundation Trust</b>	2,371
Establishment of Link - Cox's Bazar Medical College Hospital, Bangladesh	

# TROPICAL HEALTH AND EDUCATION TRUST

## NOTES TO THE FINANCIAL STATEMENTS YEAR ENDED 31 DECEMBER 2011

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### 21 GRANT PAYMENTS MADE UNDER THE INTERNATIONAL HEALTH LINKS FUNDING SCHEME (contd)

	<b>2011 Grants £</b>
	<hr/>
<b>Wisdom Hospice</b>	1,894
The development of collaborative palliative care education for health care professionals between Nigeria and the UK	
<b>University Hospital of Leicester NHS Trust</b>	1,590
Establishment of Link - Sacred Heart Hospital, Obudu, Nigeria	
<b>NHS Borders</b>	1,029
To improve the pharmacy system to ensure a reliable supply of medicines and surgical supplies for St Francis Hospital, Katete, Zambia	
<b>University of Manchester/Lancashire Care NHS Trust</b>	(473)
Return of unspent funds	
<b>Addenbrooke's Abroad-charity based at Cambridge University Hospitals</b>	(938)
Return of unspent funds	
<b>Oxford Radcliffe Hospitals NHS Trust</b>	(14,148)
Return of unspent funds	
<b>Scottish Parasite Diagnostic Laboratory, Stobhill Hospital</b>	(15,000)
Grant recovery due to project closure	
	<hr/> <b>1,036,325</b> <hr/>